



EIROPAS KOMISIJA

Briselē, 16.12.2010  
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**Komisijas lēmums**

**(16.12.2010)**

**ar ko Latvijai apstiprina 2010. gada ikgadējo programmu Ārējo robežu fondam un  
2010. gada līdzfinansējumu no šā fonda**

(Autentisks ir tikai teksts latviešu valodā)

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EIROPAS KOMISIJA,

ņemot vērā Līgumu par Eiropas Savienības darbību,

ņemot vērā Eiropas Parlamenta un Padomes 2007. gada 23. maija Lēmumu Nr. 574/2007/EK, ar ko laikposmam no 2007. gada līdz 2013. gadam izveido Eiropas Ārējo robežu fondu kā daļu no Vispārīgās programmas „Solidaritāte un migrācijas plūsmu pārvaldība”<sup>1</sup>, un jo īpaši tā 23. panta 4. punktu,

tā kā:

- (1) Komisija 2008. gada 14. novembrī apstiprināja Latvijai daudzgadu programmu laikposmam no 2007. gada līdz 2013. gadam.
- (2) Latvija 2009. gada 5. novembrī Komisijai iesniedza 2010. gada ikgadējās programmas projektu. Šo ikgadējās programmas projektu pēc tam pārskatīja un galīgo redakciju iesniedza 2010. gada 10. novembrī. Tajā ir iekļauti Lēmuma Nr. 574/2007/EK 23. panta 3. punktā paredzētie vajadzīgie elementi, un tā ir sagatavota saskaņā ar daudzgadu programmu.
- (3) Ir jānorāda dalībvalstij ar līdzfinansējumu piešķirtās summas.
- (4) Ir lietderīgi noteikt izdevumu atbilstības galīgo datumu saskaņā ar XI pielikuma I.4. un V.3. punktu Komisijas 2008. gada 5. marta Lēmumā Nr. 2008/456/EK, kas nosaka kārtību, kādā īstenojams Lēmums Nr. 574/2007/EK<sup>2</sup>,

IR PIENĒMUSI ŠO LĒMUMU.

### *1. pants*

Ar šo tiek apstiprināta šā lēmuma pielikumā izklāstītā 2010. gada ikgadējā programma Latvijai.

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<sup>1</sup> OV L 144, 6.6.2007., 22. lpp.

<sup>2</sup> OV L 167, 27.6.2008., 1. lpp. Lēmumā jaunākie grozījumi izdarīti ar Komisijas Lēmumu 2010/69/ES (OV L 36, 9.2.2010., 30. lpp.).

## 2. pants

Kopējā summa, kas no Ārējo robežu fonda 2010. finanšu gadam ir piešķirta ar līdzfinansējumu, ir EUR 1 863 916,00.

## 3. pants

Attiecībā uz 2010. gada ikgadējo programmu izdevumu atbilstības galīgais datums pasākumiem ir 2012. gada 30. jūnijs, un izdevumu atbilstības galīgais datums tehniskai palīdzībai ir 2013. gada 31. marts.

## 4. pants

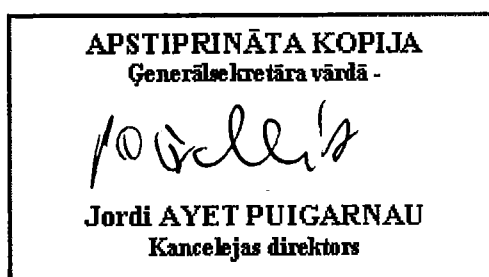
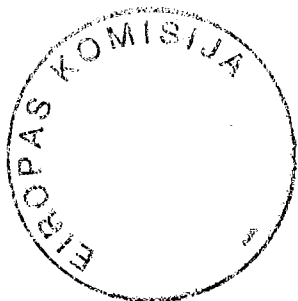
Attiecībā uz 2010. gada ikgadējo programmu Latvijai šis lēmums ir lēmums par finansējumu Padomes 2002. gada 25. jūnija Regulas (EK, Euratom) Nr. 1605/2002 par Finanšu regulu, ko piemēro Eiropas Kopienų vispārējam budžetam<sup>3</sup>, 75. panta 2. punkta nozīmē un Komisijas Regulas (EK, Euratom) Nr. 2342/2002, ar ko paredz īstenošanas kārtību Padomes Regulai (EK, Euratom) Nr. 1605/2002 par Finanšu regulu, ko piemēro Eiropas Kopienų vispārējam budžetam<sup>4</sup>, 90. panta nozīmē.

## 5. pants

Šis lēmums ir adresēts Latvijas Republikai.

Briselē, 16.12.2010

*Komisijas vārdā –  
Komisijas loceklis  
Cecilia MALMSTROM*



<sup>3</sup> OV L 248, 16.9.2002., 1. lpp. Regulā jaunākie grozījumi izdarīti ar Padomes Regulu (EK) Nr. 1525/2007 (OV L 343, 27.12.2007., 9. lpp.).

<sup>4</sup> OV L 357, 31.12.2002., 1. lpp. Regulā jaunākie grozījumi izdarīti ar Komisijas Regulu (EK, Euratom) Nr. 478/2007 (OV L 111, 28.4.2007., 13. lpp.).

## **PIELIKUMS**

Latvijas 2010. gada ikgadējā programma.



## Annex

### 2010 ANNUAL PROGRAMME

MEMBER STATE:	Republic of Latvia
FUND:	External Borders Fund
RESPONSIBLE AUTHORITY:	Ministry of the Interior, Čiekurkalna 1.līnija 1, k-2 Rīga, LV 1026
YEAR COVERED:	2010

#### **1. GENERAL RULES FOR SELECTION OF PROJECTS TO BE FINANCED UNDER THE PROGRAMME**

##### **1.1. General principles for selection of projects**

As a general principle, the projects can be selected and implemented in two methods:

- **Responsible Authority acting as awarding body.** In this case open call for proposals will be organised, where project applicants compete equally for application approval and financing assignment;
- **Responsible Authority acting as executing body.** In this case the amount of financing allocated to action and the Competent Authority for the implementation of the respective action is known beforehand. This information is determined by the Management Committee while developing planning documents of the fund. In the framework of the executing body implementation method the Competent Authorities are responsible for preparation and submission of the project application to the Responsible Authority for the evaluation and approval. The Responsible Authority will approve and finance all of the project applications of the Competent Authorities that correspond to the evaluation criteria and will sign the agreement with the Competent Authorities, which will be similar to the grant agreement.

For evaluation of submitted project applications within both implementation methods – the awarding body and the executing body – similar evaluation procedure will be applied. Submitted project applications will be evaluated against predefined criteria by the Project applications' Evaluation Commission (established by the Responsible Authority) in the framework of the executing body implementation method. In the framework of the awarding body implementation method the submitted project applications will be evaluated not only by the Project applications' Evaluation Commission, but also by the Management Committee of the Fund. The evaluation criteria of the submitted project applications are approved by the Cabinet of Ministers of Latvia and fully comply with the minimum selection criteria defined in the basic act.

##### **1.2. Specific issues of the Fund**

Considering the fact that all actions of the External Borders Fund (hereafter – Fund) can be implemented only by a limited number of the state authorities who have *de jure* monopoly rights on implementation of these actions due to their specific features and connection to national security matters, all the actions will be implemented by the executing body implementation method.

##### **1.3. Timeline of selection and approval of projects**

Regarding actions to be implemented under the annual programme 2010 the Responsible Authority will send out invitations to the Competent Authorities to submit project applications in the 1st half of year 2011.

Afterwards project evaluation will take place and it is foreseen that evaluation of the submitted projects will last approximately 3 months, and if project applications will correspond to evaluation criteria, projects will be approved and grant agreements will be signed. The eligibility period for project implementation is from 1<sup>st</sup> January 2010, the end date – at the latest 30 June 2012. At the national level there are no constraints regarding the restricted projects. The eligibility period is adjusted according to the Eligibility rules ( the 1<sup>st</sup> January of the appropriate year).

#### **1.4. Procurement issues**

The Competent Authorities will be responsible for implementation of the approved projects, including organisation of procurement procedures and signing contracts with contractors according to the national legislation and the Article 11 of the implementing rules of the Fund. Public bodies are obliged to comply with the rules of the Public procurement law, which states that the minimum threshold for carrying out public procurement procedure in Latvia for services and products is 3 000 lats (~ 4 269 euro) and for construction works 10 000 (~ 14 228 euro). If the value of the planned contract is less than the abovementioned threshold, simplified procedure of public procurement has to be carried out having regard of the basic requirements for the public procurement principles (value for money principle, clearly defined criteria for the selection of the best offer, etc.).

#### **1.5. Visibility of EC funding**

By implementing projects within the framework of the Fund, the Competent Authorities responsible for the implementation of the projects will be under obligation to provide information regarding use of the Fund financing in accordance with the guidelines for publicity and visual identity measures. This includes requirements for the Competent Authorities on publicity and visual identity measures to be taken depending on specifics of the project. As the main examples of the visual identity and publicity measures to be applicable during the implementation of the projects can be mentioned the following:

**Information boards** at the project implementation site – regarding the implementation of project related to the development of the infrastructure object whose total budget exceeds 100 000 EUR.

**Labels on the acquired equipment** – regarding the implementation of project related to the acquisition of any kind of equipment.

**Posters** in the training premises and Funds logo on the **training materials** – regarding the implementation of project related to organising trainings.

**Information and communication material** – publications (such as newsletters, booklets, brochures, handout materials produced for the organization of trainings), data basis and audiovisual materials the front page will have to contain the information about the use of Funds financing in the production of the respective materials.

**Information events** (such as conferences and seminars) – on the documents and hand out materials used in such information events, the reference on the use of the Funds financing and logo of the Fund will have to be clearly visible.

**Websites** – the information given on the internet regarding the implementation of the project under implementation of the Fund will have to contain the reference on the use of the Funds financing and also the hyperlink to other European Commission websites concerning the implementation of the Fund will have to be included.

**Press releases** – information in the national or local mass media on the implementation of the project under the implementation of the Fund will have to contain the reference on the use of the Funds financing within implementation of the project.



The aforementioned guidelines for publicity and the visual identity measures will be attached to the agreement concluded between the Responsible Authority and the Competent Authorities.

According to the requirements of the Article 33 (2)(a) of the implementing rules the Responsible Authority will organise annual information activity with participation of the representatives of the media and all of the institutions involved in the implementation of the Fund with aim to give the information on the launch of the multiannual programme, the actions set for receiving financing within the Fund, the amount of financing allocated for the implementation of the actions; information on the annual programmes regarding the actions to be supported from within the framework of the annual programme concerned and also the information on the achievements of the implementation of the previous annual programme. The expenditure related to the annual information activity will be covered from the financial means of the technical assistance.

Also the names of the Final beneficiaries of the Fund as well as the names of the Competent Authorities, names of the approved projects as well as the information on the Community funding and public funding allocated to implementation of the approved projects will be published in the official home page of the Responsible Authority (<http://www.iem.gov.lv>) on annual basis according to the requirements of Article 33 (2) (b) of the implementing rules.

## **2. CHANGES IN THE MANAGEMENT AND CONTROL SYSTEMS**

N/A

## **3. ACTIONS TO BE SUPPORTED BY THE PROGRAMME UNDER THE PRIORITIES CHOSEN**

### **3.1. *Actions for implementation of the priorities chosen by the Republic of Latvia***

**PRIORITY 1: Support for the further gradual establishment of the common integrated border management system as regards the checks on persons at and the surveillance of the external borders**

**Action 1.2.** „Equipping the border checkpoints on the railway between Zilupe, Indra and Karsava and state borders with the video surveillance system”

**PRIORITY 4: Support for the establishment of IT systems required for implementation of the Community legal instruments in the field of external borders and visas**

**Action 4.2.** “The integration of the national biometrics data processing system with the Integrated Information System of the Ministry of the Interior and SIS II”

**PRIORITY 5: Support for effective and efficient application of relevant Community legal instruments in the field of external borders and visas, in particular the Schengen Borders Code and the European Code on Visas**

**Action 5.1.** “Providing the regional training for the consular officials about the common visa policy, in accordance with the requirements of the European Visa Code”

<b><u>Action 1.2.</u></b>	<b>Equipping the border checkpoints on the railway between Zilupe, Indra and Karsava and state borders with the video surveillance system</b>
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### **1. Purpose and scope of the action**

In order to ensure implementation of the Priority 1, the implementation of the action 1.2. was started within the framework of the annual programme 2009 and is continuing within the framework of the annual programme 2010.

Within the framework of the annual programme 2009 it was mentioned that in order to fulfil the case of Schengen Catalogue on the railway divisions between Zilupe, Indra and Karsava railway border checkpoints and state borders the State Border Guard proposed to begin the implementation of this action earlier than originally planned in multiannual programme.

The reason for above mentioned was that during last years the State Border Guard was facing sharp changes in realisation of the phenomenon of illegal immigration. The illegal immigrants from Asia for illegal penetration into the Schengen area more often use "green" border.

The aim of this action is to establish and use more effectively high technologies in order to reduce the possibility for illegal immigrants to enter the territory of the EU by crossing the external border of the EU, as well as to promote effective and operative exchange of the information among services involved in the control of the external border.

Taking into account the scope and the amount of works to be performed within the framework of the aforementioned action, it is a multiannual action.

Action set for the acquirement of co-financing of the Fund envisages establishment of the effective video surveillance systems of the border and frontier zone, equipping railway spans from the railway border control points to the state border with video surveillance systems. The benefit of these works is that by using video surveillance systems (video cameras) the number of cases, when illegal immigrants are detained, will increase.

Within the annual programme 2009 the technical project for establishment of 3 Border Control Points on the external border with the video surveillance systems will be elaborated.

Within annual programme 2010 the II stage – Karsava railway border checkpoint development will be performed.

Zilupe and Indra railway border checkpoints will be developed within future annual programmes. Karsava railway border checkpoint is crossed both by cargo trains and by passenger trains. Therefore it is considered to be the most important railway border checkpoint in terms of the risk of illegal border crossings. The biggest number of illegal border crossing was detected at Karsava railway border checkpoint.

At the moment the railway section from the state border to the Karsava railway border checkpoint is not in full view from the closest SBG structural units, as well as it is not covered with any technical devices.

## ***2. Expected grant recipients***

Taking into account the specifics of action set for the acquirement of co-financing of the Fund within the framework of the annual programme for year 2010, the action will be implemented by the Responsible Authority acting as an executing body.

The only Competent Authority for the implementation of the action 1.2. set for the acquirement of co-financing of the Fund within the framework of the annual programme for year 2010 is the State Border Guard.

## ***3. Where appropriate, justification regarding project(s) implemented directly by the responsible authority acting as an executing body***

Chapter 13 of the Law of Border Guard defines the tasks of the Border Guard and one of the challenges is to prevent that persons and vehicles crossing the state border, the cargo and other goods are moved across the state border outside of this purpose in the places or other illegal means, to detect and arrest offenders of state borders, as well as to detect offenders of regime of the border, the border zone, border control points and border crossing.

## ***4. Expected quantified results and indicators to be used***

In the framework of the annual programme 2010 it is planned to establish Video surveillance system establishment from state border to Kārsava railway BCP including:

- installation of fixed daylight cameras (every 50 m)
- turnable daylight cameras + night vision cameras with stabilization (every 1000m);
- setting of registration system and workstation;
- data transmission (optical cable) with infrastructure establishment, installation and other operations.

Results for AP 2010	Indicators			
	Output		Outcomes	
	Indicator according to MAP	Value for AP 2010	Indicator according to MAP	Value for AP 2010
Karsava railway border checkpoint development	Video surveillance systems is elaborated which has sensors and recording equipment for railway divisions from state border to Karsava railway BCP;	To ensure railway video surveillance system by establishing data transmission net connected to the technical surveillance device complex with the unified management and situation control system on the railway border control spots it is necessary to acquire: 1. fixed diurnal video surveillance cameras approx. every 50 m (approx. 90 units); 2. turning diurnal/night video cameras with stabilization approx. every 1000 m (approx. 5 units); 3. registration equipment and workstations at Karsava railway checkpoints; transmission net (optical cable) with infrastructure establishment (approx. 95 units).	The possibilities to cross the border illegally have been reduced;  The number of cases, when illegal immigrants are detained by State Border Guard, will increase	N° of the detected illegal crossings in year 2012 increased in comparison with years 2008-2009  N° of detentions during 2008-2009 is 60 illegal immigrants  In year 2012 it is planned to detain 120 illegal immigrants

## 5. Visibility of EC funding

The project partners must always refer to the co-financing of this project from the Fund in communications about this project. The EU logo and the statement of the Fund must be displayed on written material. Labels on the acquired equipment must be attached to material objects acquired. When making the AP 2010 public, confidentiality may need to be ensured with regard to the location of the border surveillance systems.

## 6. Complementarity with similar actions financed by other EC instruments, if appropriate

The action set for co-financing of the Fund does not overlap with the actions financed by other EU financial instruments; instead it supplements the project commenced within the framework of the *Schengen Facility* programme thus ensuring the succession of financing.

With any other EC financial instruments (*PHARE Programme, Transition Facility, the European Regional Development Fund (ERDF), and the Norwegian Financial Instrument*) the action set for the acquiring of co-financing of the Fund does not overlap.

## **7. Financial information**

Financial means in amount of **1 636 256 EUR** are required for implementation of the action 1.2. within the framework of the annual programme for year 2010. Disposition of these funds is envisaged for establishment of the effective video surveillance system for railway divisions from state border to Kārsava railway BCP.

<b>Type of allocation</b>	<b>EUR</b>	<b>%</b>
Community contribution	1 227 192	75
Public allocation	409 064	25
<b>TOTAL</b>	<b>1 636 256</b>	<b>100</b>

<b><u>Action 4.2.</u></b>	<b>The integration of the national biometrics data processing system with the Integrated Information System of the Ministry of the Interior and SIS II</b>
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### **1. Purpose and scope of the action**

In order to ensure the implementation of the Priority 4, the implementation of the action 4.2. will be commenced within the framework of the annual programme 2010. By taking into account the scope of works to be performed within the framework of the aforementioned action, it is considered to be a multiannual action, in accordance with the European Commission decision regarding the implementation rules for the Fund. Aim of this action is to ensure that at border control points border crossing persons biometrical data are processed adequately and that biometrical data are properly checked in order to ensure that border control procedures on the EU external border are in line with the standards and requirements of EU. In order to achieve the aforementioned aim, it is planned to integrate the National Biometrics Data processing system with the Schengen Information System II (SIS II) and the Integrated Interior Information System (IIIS)..

Creating such an integrated solution would allow ensuring possibility to search for information concerning person biometrical data in on-line mode, and at the same time to receive information from the National Biometrics Data processing system and SIS II. Therefore efficiency of the border control procedures regarding checks of person identity at the EU external border in Latvia would improve. At the same time integration of the aforementioned systems would allow to add information on person biometrical data in SIS II from the IIIS and National Biometrics Data processing system. Therefore supplementing functioning of SIS II by providing new persons biometrical data in the system that are accessible to the respective services responsible for migration control and EU external border control in other EU member states.

It's foreseen that one of the primary such information users in the on-line mode should be Latvian and other EU Member States border guards (in particular at the EU external borders), taking into account that performing border control checks requires rapid (in order to minimize border crossing time) processing of trustable person identity information from various sources. It should be underlined that other national and other EU Member States services will be among stakeholders of such a system – SIS II end-users (also using on-line mode) will greatly benefit from the implemented integrated solution that will allow person recognition (identification/verification) to be made more effectively.

The following activities are being planned under AP 2010:

- analysis of the legal basis and administrative procedures – necessary for definition of the possible changes in legal acts and practical collaboration procedures among the authorities involved;
- research of software requirements – necessary for clear and thorough understanding of the products/services to be developed;
- software designing – necessary for development of a plan that includes low-level component and algorithm implementation issues as well as the architectural view;
- starting of the development of the software – necessary for the creation of the component for the data processing;
- to establish and equip work places for processing of biometrics data;
- starting of the development of local area networks needed for the integration of the National Biometrics Data processing system with SIS II and IIIS – necessary for the ensuring of the data transmission among the information systems involved.

## ***2. Expected grant recipients***

Taking into account the specifics of action set for the acquirement of co-financing of the Fund within the framework of the annual programme for year 2010, the action will be implemented by the Responsible Authority acting as an executing body.

The only Competent Authority for the implementation of the action 4.2. set for the acquirement of co-financing of the Fund within the framework of the annual programme for year 2010 is the Information Centre of the Ministry of the Interior of Republic of Latvia.

## ***3. Where appropriate, justification regarding project(s) implemented directly by the responsible authority acting as an executing body***

According to the law on the Biometrical Data Processing system (accepted by the Parliament of the Republic of Latvia on 21.05.2009.) elaboration and support of the National Biometrics Data Processing system is under jurisdiction of the Information Centre of the Ministry of the Interior of the Republic of Latvia. According to the law the Information Centre of the Ministry of the Interior of the Republic of Latvia is responsible for the development and maintenance of the Schengen Information System.

## ***4. Expected quantified results and indicators to be used***

Information on expected quantified results within implementation of the action under the annual programme 2010 is presented in the table below, as well as the information on indicators to be used for measuring progress within the annual programme 2010 against the baseline situation. The table includes only those indicators that can be applied for the evaluation of the results of the project within the annual programme 2010.

Results for AP 2010	<i>Indicators</i>			
	<i>Output</i>		<i>Outcomes</i>	
	Indicator according to MAP	Value for AP 2010	Indicator according to MAP	Value for AP 2010

To elaborate software for ensuring integration of National Biometrics Data processing system with SIS II and IIIS	Legal basis and administrative procedures studied; Software requirements analyzed	Software requirement specification developed, work plan developed  The description of the software project completed	Improvement of the effectiveness of the SIS II and IIIS operation is initiated	The development of solution for the effective data search and the Biometric data transfer from BDAS and SIS started
To develop local area networks needed for integration of National Biometrics Data processing system with SIS II and IIIS	Organization of public procurement procedure related to development of local area networks needed for integration of National Biometrics Data processing system with SIS II and IIIS	Documentation of public procurement procedure developed (related to development of local area networks needed for integration of National Biometrics Data processing system with SIS II and IIIS)		Possibilities for processing of high quality biometrics data provided to the law enforcement institutions
Establishment and equipping of work places for processing of biometrics data	Work places for processing of biometrics data Established and equipped	About 20 work places ensured for processing of biometrics data		

### 5. Visibility of EC funding

The project partners must always refer to the co-financing of this project from the Fund in communications about this project. Information and communication material must contain the information about the EU logo and the statement of the Fund.

### 6. Complementarity with similar actions financed by other EC instruments, if appropriate

The action 4.2. envisages integration of the national Biometrics Data Processing System with the Integrated Information system of the Ministry of the Interior of the Republic of Latvia and the Schengen Information System II thus supplementing further development of the National Biometrics Data Processing System which is foreseen to be commenced by implementation of project “*Development of Biometrics Data Processing System*” under implementation of the European Regional Development Fund (ERDF), wherein for development of the system for the programming year 2009 there have been allocated under operational programme “*Infrastructure and Services*” priority 2 measure 2.2. “*ICT infrastructure and Services*”. Within the implementation of the aforementioned project the National Biometrics Data Processing System will be developed, including elaboration of the technical project and implementation of the system. The elaboration of Schengen Information System II (SIS II) and respective decision is delayed. It was impossible to implement planned activities, because funding of the project ERDF was allocated to the Information Centre of the Ministry of the Interior only in year 2009.

The necessary information about the SIS II (data structure, data processing etc.) that is necessary for performing activities of national system integration with SIS II was not at the Information Centre of the Ministry of the Interior service.

Therefore the implementation of the action 4.2. will be commenced within the framework of the AP 2010.

### **7. Financial information for implementation of the action 4.2.**

Financial means of 470 000 EUR are required for the implementation of the action 4.2. within the framework of the annual programme 2010. Disposition of these funds is envisaged for the following works:

- study and analysis of software requirements;
- software designing;
- establishment and equipping of work places for processing of biometrics data.

Type of allocation	EUR	%
Community contribution	352 500	75
Public allocation	117 500	25
<b>TOTAL</b>	<b>470 000</b>	<b>100</b>

<b><u>Action 5.1.</u></b>	<b>Providing the regional training for the consular officials about the common visa policy, in accordance with the requirements of the European Visa Code</b>
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#### **1. Purpose and scope of the action**

In order to ensure the implementation of the Priority 5, within the framework of the annual programme 2008 the implementation of the action 5.1. was commenced, by organizing the 1<sup>st</sup> round of the regional trainings for the consular officials. Trainings were organised in two flows – consular official's trainings in the CIS region (Baku, Azerbaijan) and in other regions of the world (Budapest, Hungary). By taking into consideration the high level of staff rotation among Latvian diplomatic and consular representations abroad, with trained officials within the 1<sup>st</sup> round of the trainings will not be sufficient. Therefore it is planned to organize such trainings within the framework of every annual programme starting from the annual programme 2008.

In comparison with the implementation of the action within the framework of the annual programme 2008, there will be made formal adjustments in consular official's trainings within the annual programme 2009. Taking into consideration the status of Latvia as a Schengen Member State, the consular official's trainings will not be organized as trainings in CIS region and other regions of the world. By beginning of the annual programme 2009 the consular official's trainings will be organized according to the following division of flows – consular official's trainings in Schengen region and trainings in non – Schengen region. These changes will be formal and will not bring any impact to the content of trainings. Therefore the main topics of the trainings will remain the same as for the annual programme 2008 and 2009:

- latest amendments in legal basis regarding the processing of the visa applications and visa issuing and the effective application of the provisions of the legal basis regarding visa issuing;
- the effective use of different information systems concerning visa issuing;
- the practical aspects for the application of the provisions of legal basis and the information systems regarding visa issuing – during particular phase of training participants are trained in praxis on visa issuing in respective region.

Additionally it is foreseen to invite to the consular trainings also guest lecturers from other Schengen member states in order to supplement the creation of an unified approach to application of provisions of the EU law regarding issuing of Schengen visas.

Therefore within the framework of the annual programme 2010 the commenced regional trainings for the consular officials will be continued by implementing the 3<sup>rd</sup> round of trainings

for the consular officials and by training approximately 27 consular officials in the Schengen region and approximately 36 consular officials in non – Schengen region.

## **2. Expected grant recipients**

By taking into account the specifics of the action it will be implemented by the Responsible Authority acting as an executing body, implementing projects in cooperation with other Competent Authority and by concluding agreement with the Competent Authority, which will be similar to the grant agreement. The only authority that can be referred to be as the only possible Competent Authority that can implement the action 5.1. is the Ministry of the Foreign Affairs.

## **3. Where appropriate, justification regarding project(s) implemented directly by the responsible authority acting as an executing body**

The Ministry of Foreign Affairs of the Republic of Latvia is competent to issue Schengen visas, and it is ensured by the network of the diplomatic and consular missions of Latvia, as well as to ensure the co-ordination function among such diplomatic and consular missions. By taking into account the specifics of the action set for the acquiring of co-financing of the Fund and its direct relation to operation of diplomatic and consular missions, the Ministry of the Foreign Affairs of the Republic of Latvia can be considered to be as the only possible Competent Authority that can implement the action 5.1.

## **4. Expected quantified results and indicators to be used**

The information on expected quantified results within the implementation of the action under the annual programme 2010 is presented in the table below (see column “Results for AP 2010”), as well as the information on indicators to be used for measuring progress within the annual programme 2010 against the baseline situation. The table includes only those indicators that can be applied for the evaluation of the results of the project within the annual programme 2010. Where possible the indicator is presented quantified. If the indicator can not be quantified, its value for the annual programme 2010 is presented as “yes/no”.

Results for AP 2010	Indicators			
	Output		Outcomes	
	Indicator according to MAP	Value for AP 2010	Indicator according to MAP	Value for AP 2010
To train during the 3 <sup>rd</sup> round of regional training of consular officials in Schengen region and consular officials in non – Schengen region	Training courses organized and respective personnel trained;	Necessary knowledge for consular officials acquired	The common approach to the implementation EU Common visa policy is ensured; The comprehensive use of the Visa Information System in the Diplomatic and Consular Representation is guaranteed	yes/no

## **5. Visibility of EC funding**

The project partners must always refer to the co-financing of this project from the Fund in communications about this project. The EU logo and the statement of the Fund must be displayed on the written materials and documents used during the information events.

## **6. Complementarity with similar actions financed by other EC instruments, if appropriate**

The action 5.1. envisages continuation of training for consular officials which has been commenced by the implementation of the project No.ĀM/5/5 “Consular officials at the embassies and consulates of the Republic of Latvia have all the necessary qualification for issuance of Schengen visas” under the implementation of Schengen Facility programme. Within implementation of the aforementioned project there were two sessions of the regional trainings for consular officials held.



## 7. Financial information

Financial means of 165 000 EUR are required for the implementation of the action 5.1. within the framework of the annual programme 2010. These costs comprise of the following:

- travel expenses of participants of the training;
- accommodation expenses of participants of training;
- involvement of foreign experts;
- publicity etc.

Type of allocation	EUR	%
Community contribution	123 750	75
Public allocation	41 250	25
<b>TOTAL</b>	<b>165 000</b>	<b>100</b>

## 4. TECHNICAL ASSISTANCE

### 4.1. Purpose of the technical assistance

In order to strengthen the administrative capacity of the institutions involved in management, control and supervision of the implementation of the fund (responsible authority, certifying authority and audit authority), as well as to ensure qualitative and adequate fulfillment of their tasks, possible areas of application of the technical assistance financing are as follows:

#### *Responsible authority:*

- Salaries of employees involved in ensuring of fulfillment of functions of the Responsible Authority (expenditure will be covered in full amount from the financial means of technical assistance for the employees whose direct duties will be related only to implementation of the Fund);
- Expenses of the business trips related to the implementation of the Fund;
- Improvement of the professional qualification of the employees involved in ensuring of fulfillment of functions of the Responsible Authority by organizing training courses;
- Ensuring the realization of *on-the-spot* checks;
- Expert fees of experts forming project applications evaluation commission;
- Ensuring project and programme evaluation;
- Translation of the documents related to the implementation of the Fund (English – Latvian, Latvian – English);
- Ensuring the organization of the annual information activity.

#### *Certifying authority:*

- Salaries of the employees involved in ensuring of the fulfillment of functions of the Certifying Authority and expenses of business trips related to implementation of the Fund (expenditure will be covered in full amount from the financial means of the technical assistance for the employees whose direct duties will be related only to implementation of the Fund);

#### *Audit authority:*

- Drawing up of part C of an annual audit report to the European Commission about the annual programme – declaration assessing the validity of the request for payment or statement of reimbursement of the final balance and the legality and regularity of the expenditure concerned by the use of outsourcing;

- Expenses of business trips that are related to the implementation of the Fund (expenditure will be covered in full amount from the financial means of the technical assistance for the employees whose direct duties will be related to the implementation of the Fund);
- Improvement of the professional qualification of the employees involved in ensuring of the fulfillment of functions of the Audit Authority by organizing training courses.

Precise division of the technical assistance financing among the institutions involved in management, control and supervision of the implementation of the fund, as well as precise areas of application of this financing will be determined in agreement concluded between the Responsible authority and the recipient of the technical assistance financing.

#### **4.2. Expected quantified results**

##### *Responsible authority:*

- For the fulfillment of the functions of the Responsible Authority, currently it is planned to involve employees, who will fulfil the tasks concerning implementation of the 3 funds which are under management of one the Responsible Authority (the Ministry of the Interior);
- At least one *on-the-spot* check at the site of the implementation of every project under implementation of the annual programme 2010 will be realised;
- The evaluation of the project applications submitted within the framework of annual programme 2010 will be ensured;
- The annual information activity according to the requirements of Article 33 (2)(a) of the implementing rules will be held;
- The improvement of professional qualification of employees involved in ensuring of fulfillment of functions of the Responsible Authority by organizing training courses will be ensured;
- The elaboration of the documentation related to the implementation of the Fund and adequate translation of the documentation to English will be ensured;
- The financing of the programme evaluation actions will be ensured.

##### *Certifying authority:*

- For fulfillment of the functions of the Certifying Authority it is planned to involve employees for carrying out tasks concerning implementation of all 4 funds;
- Ensured certification of the expenditure and submission of the declaration of the certified expenditure to the European Commission.

##### *Audit authority:*

- For fulfillment of the functions of the Audit Authority it is planned to involve employees for carrying out tasks concerning implementation of all 4 Funds;
- Ensured drawing up of the part C of an annual audit report to the European Commission about the annual programme 2010 – declaration assessing the validity of the request for payment or statement of reimbursement of the final balance and the legality and regularity of the expenditure concerned, by the use of outsourcing, after carrying out the appropriate procurement procedure according to the requirements of the national legislation.
- Realised one on-the-spot check of selective verification of expenditures.

Considering the fact that in Latvia there are common authorities responsible for the management, control and supervision of the implementation of several funds, expenditure of technical assistance actions will be directed to the particular fund, basing upon the proportion of the financing amount available within funds.

#### **4.3. *Visibility of EC funding***

By implementing the technical assistance projects within the framework of the Fund the authorities involved in management, control and supervision of the implementation of the Fund that will be receiving the technical assistance funding, will be under obligation to provide information regarding use of the Fund financing within the implementation of the project. Therefore, the Responsible Authority has drafted guidelines for publicity and visual identity measures, which will include requirements on publicity and visual identity measures to be taken. The nature of the guidelines is similar to those described in the section 3.1.5. (*Visibility of EC funding*) of this document.

The aforementioned guidelines for publicity and visual identity measures to be taken during the implementation of the technical assistance project will be attached as annex to the agreement concluded between the Responsible Authority and the recipient of the technical assistance financing.

## 5. DRAFT FINANCING PLAN

### Annual Programme - Draft Financial Plan

Table 1 - Overview table

Member State: [Republic of Latvia]

Annual programme concerned: [2009]

Fund: [External Borders Fund]

<i>(all figures in euro)</i>	Ref. priority	Ref. specific priority (1)	Community Contribution (a)	Public Allocation (b)	Private Allocation (c)	TOTAL (d= a+b+c)	% EC (e=a/d)	Share of total (f=d/total d)
<b>Activity 1.2.</b> Equipping the border checkpoints on the railway between Zilupe, Indra and Karsava and state borders with the video surveillance system	Priority 1	N/A	1 227 192	409 064	0	1 636 256	75,00%	67,29%
<b>Activity 4.2.</b> The integration of the National Biometrics Data Processing System with the Integrated Information System of the Ministry of the Interior and SIS II	Priority 4	N/A	352 500	117 500	0	470 000	75,00%	19,33%
<b>Activity 5.1.</b> Providing the regional training for the consular officials about the policy of issuing the unified EU visas, in accordance with the requirements of the European Visa Code and the Common Consular Instructions	Priority 5	N/A	123 750	41 250	0	165 000	75,00%	6,79%
Technical assistance	N/A	N/A	160 474	0	0	160 474	100,00%	6,60%
<b>TOTAL</b>	<b>N/A</b>	<b>N/A</b>	<b>1 863 916</b>	<b>567 814</b>	<b>0</b>	<b>2 431 730</b>	<b>76,65%</b>	<b>32,71%</b>

Head of Responsible Authority  
State Secretary of the Ministry of the Interior

I. Pētersone